

University of the Pacific
Donations of Securities: Stocks and Mutual Funds

Thank you for your interest in making a gift of stock or mutual funds to University of the Pacific. We are grateful for your support! There are two significant benefits to giving appreciated marketable securities: First, such gifts create an income tax charitable deduction equal to the current fair market value of the securities being given. Second, such gifts normally allow the donor to avoid the capital gains tax otherwise payable on the appreciation in the value of the securities being given.

During normal business hours please contact our gift processing team in Advancement Services at 209-946-2202. Please contact Tod Davis, Interim Associate Vice President, Advancement and Gift Processing at Office: 209.946.2502 Cell: 209.470.0911 tdavis@pacific.edu during Holiday closures if you have questions about these instructions or if you have other types of securities you wish to donate, such as bonds, or if your stocks are held in the form of stock certificates.

DTC or Electronic Transfer Instructions

Electronic, or DTC transfer, is the fastest and most secure method of donating securities. Please instruct your broker to transfer the securities to one of Pacific's brokerage accounts listed below.

Donors making gifts at the end of the year should confirm with their broker that the transfer will be completed on or before December 31st.

Transfer of Mutual Funds

Unlike securities, transferring ownership of a mutual fund can be a slow and manual process. The re-registration process time varies from fund to fund and can take as long as six to eight weeks. Please instruct your broker to transfer the mutual funds to one of Pacific's brokerage accounts listed below.

Donors making gifts at the end of the year should begin this process six to eight weeks before the end of the year and confirm with their broker the transfer will be completed on or before December 31st.

Morgan Stanley

Brookside Business Park
3421 Brookside Road, Ste. A
Stockton, CA. 95219
Mr. Douglas Bott (209) 476-2881
Ms. Debora Kenyon (209) 478-2230

Acct# 105-022521
DTC# 0015
Ref: Donor Name*

Merrill Lynch

3255 West March Lane, Ste. 110
Stockton, CA. 95219
Mr. Michael Lynch (Angelina Vivanco) (209) 472-3545
angelina.vivanco@ml.com; (209) 472-3523
Alternate Contact: Lisa Turano (209) 472-3532

Acct# 243-04050
DTC# 5198
Ref: Donor Name*

Stifel

10100 Trinity Parkway Ste. 320
Stockton, CA 95219
Mr. Larry Watts (209) 888-2008
Christy Frank (209) 888-2007
Lydia Beget-Smith (209) 888-2002

Acct# 1676-4994
DTC# 0793
Ref: Donor Name*

*In order to properly credit your donation, it is very important your broker includes your name in the reference section of the DTC instructions.

Wire Transfer for Cash Transfer

Bank of Stockton
301 E. Miner Avenue
Stockton, CA. 95202

Acct Name: University of the Pacific Wire Depository
Acct# 1215017920
ABA/Routing # 121101037

University of the Pacific Tax ID # 94-1156266

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